



32103

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mark Zeh et al

Serial No.: 10/825,812

Art Unit : To be Assigned

Filed : April 16, 2004

Examiner : To be Assigned

For : ORAL COMPOSITION APPLICATOR DEVICES INCLUDING PIERCING SECTION AND  
RELATED METHODS

Date : 11-19-04

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449 submitted in accordance with 37 C.F.R. §§ 1.98 (a) (1) and 1.98 (b). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein. In accordance with 37 C.F.R. § 1.97 (b) (3), this Information Disclosure Statement is being submitted before the mailing of a first Office Action on the merits. The relevance of the non-English references are as shown in the respective Figures. No translations have been prepared or are within the undersigned's control.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

## CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. SECTION §1.8

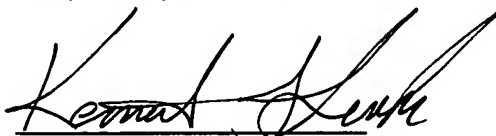
I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this 11/19/2004 date, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
Christine Avenoso

Please credit any overpayment or charge any additional fees to Deposit Account No.:  
23-0458.

Respectfully submitted,

Date: 11-19-07



Kenneth G. Lemke  
Attorney for the Applicant  
Reg. No. 47,746

Direct Communications To:

Kenneth G. Lemke  
Warner-Lambert Company, LLC  
201 Tabor Road  
Morris Plains, New Jersey 07950  
Phone (973) 385-6243  
Fax (973) 385-3117  
CUSTOMER NO. 29668

Form PTO-1449

(MODIFIED)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

32103

SERIAL NO.

10/825,812

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICANT

Mark Zeh et al

FILING DATE

April 16, 2004

GROUP ART UNIT

To be assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		US2441520					
		US 2631762					
		US 2790190					
		US 2900650					
		US 4062635					
		US 4124316					
		US 4128349					
		US 4265560					
		US 4415288					
		US 4580587					
		US 4583563					
		US 4844641					
		US 4919156(A,X6)					
		US 5040553					
		US 5407287					
		US 6241412(B1)					
		US 637522					
		US20030115705(A1)					
		US20040009029A1					
		US2623663A					
		US2683555A					
		US2690858A					
		US2739737A					
		US3521795A					
		US3549057A					
		US3853243A					
		US3956480A					
		US4010871A					

Form PTO-1449  
(MODIFIED)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
32103

SERIAL NO.  
10/825,812

INFORMATION DISCLOSURE CITATION

APPLICANT

Mark Zeh et al

FILING DATE

April 16, 2004

GROUP ART UNIT

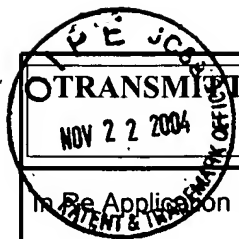
To be assigned

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		US4039261A					
		US4056110A					
		US4124316A					
		US4155663A					
		US4211341A					
		US4221492A					
		US4223809A					
		US4421252A					
		US4508240A					
		US4521128A					
		US4582075A					
		US4850516A					
		US4850730A					
		US4974748A					
		US4978037A					
		US5017036A					
		US5035385A					
		US5050773A					
		US5123765A					
		US5215218A					
		US5244298A					
		US5439014A					
		US5462377A					
		US5584593A					
		US5599126A					
		US5673880A					
		US5701921A					
		US5799910A					
		US5845813A					
		US5871124A					
		US5909977A					





**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
32103

In Re Application Of: Mark Zeh et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/825,812	4/16/2004	To be Assigned		TBD	

Title: **ORALCOMPOSITION APPLICATOR DEVICES INCLUDING PIERCING SECTION AND RELATED METHODS**

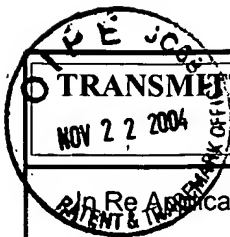
Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

32103

Re Application: Mark Zeh et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/825,812	4/16/2004	To be Assigned		TBD	

Title: **ORALCOMPOSITION APPLICATOR DEVICES INCLUDING PIERCING SECTION AND METHODS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-0458 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.****Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

(Date) \_\_\_\_\_

Signature \_\_\_\_\_

Typed or Printed Name of Person Signing Certificate \_\_\_\_\_

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" \_\_\_\_\_

(Date) \_\_\_\_\_

Signature of Person Mailing Correspondence \_\_\_\_\_

Christine Avenoso

Typed or Printed Name of Person Mailing Certificate \_\_\_\_\_

**\*This certificate may only be used if paying by deposit account.**

Signature

Dated: 11-19-04

Kenneth G. Lemke

Reg. No. 47,746

Warner-Lambert Company LLC

201 Tabor Road

Morris Plains, NJ 07950

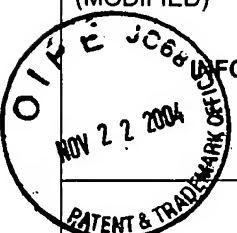
Phone 973 385-6243

Fax 973 385-3117

Customer No. 29668

CC:

Form PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 32103	SERIAL NO. 10/825,812
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		APPLICANT Mark Zeh et al	
		FILING DATE April 16, 2004	GROUP ART UNIT To be assigned



**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	xNO
	DE10010731A1						
	DE10023725A1						x
	DE19531368A1						x
	DE19805962A1						x
	DE19858309A1						x
	DE20003611U1						x
	DE20012712U1						x
	DE20013158U1						x
	DE20106231U1						x
	DE20215859U1						x
	DE29920816U1						x
	DE3617854A1						x
	DE3711956A1						x
	FR2432848A1						x
	FR2452265A1						x
	FR2515012A1						x
	FR2598300A1						x
	FR2680456A1						x
	FR2837367A1						x
	GB2175799A1(A)						

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
----------	-----------------

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.



